

DOCKET NO.: K0091.70002US00

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

John T. Keane

Serial No:

10/712,811

Confirmation. No.:

Filed:

November 13, 2003

For:

ON TREATMENT PATIENT RECORD COVER

### CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

The undersigned hereby certifies that this document is being placed in the United States mail with first-olds postage attached, addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the of 2004.

David Wolf, Reg. No.: 17,528

Commissioner For Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Transmitted herewith are the following documents:

- [X] Information Disclosure Statement
- [X] Return Receipt Postcard

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at (617) 720-3500, Boston, Massachusetts.

A check is not enclosed. If a fee is required, the Commissioner is hereby authorized to charge Deposit Account No. 23/2825. A duplicate of this sheet is enclosed.

Respectfully submitted, John T. Keane, Applicant

By:

David Wolf, Reg. No.: 17,528 Wolf, Greenfield & Sacks, P.C.

600 Atlantic Avenue

Boston, Massachusetts 02210-2211

Telephone: (617)720-3500

Docket No. K0091.70002US00

Date: n13 x02/13/04x



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Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

# STATEMENT FILED PURSUANT TO THE DUTY OF DISCLOSURE UNDER 37 CFR §§1.56, 1.97 AND 1.98

Sir:

Pursuant to the duty of disclosure under 37 C.F.R. §§1.56, 1.97 and 1.98, the Applicant requests consideration of this Information Disclosure Statement.

# PART I: Compliance with 37 C.F.R. §1.97

This Information Disclosure Statement has been filed within three months of the filing date of a National Application other than a continued prosecution application under 37 C.F.R. §1.53(d).

# PART II: Information Cited

The Applicant hereby makes of record in the above-identified application the information listed on the attached form PTO-1449 (modified). The order of presentation of the references should not be construed as an indication of the importance of the references.

The Applicant hereby makes the following additional information of record in the above-identified application.

766237.1

The applicant would like to bring to the Examiner's attention the following co-pending applications that may contain subject matter related to this application:

Serial No.	Filing Date	<u>Inventor(s)</u>	
60/004,632	October 2, 1995	John T. Keane	
08/722,973	October 2, 1995	John T. Keane	

### PART III: Remarks

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Documents cited anywhere in the Information Disclosure Statement are enclosed unless otherwise indicated. It is respectfully requested that:

- 1. The Examiner consider completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims;
- 2. The enclosed form PTO-1449 be signed by the Examiner to evidence that the cited information has been fully considered by the Patent and Trademark Office during the examination of this application;
- 3. The citations for the information be printed on any patent which issues from this application.

By submitting this Information Disclosure Statement, the Applicant makes no representation that a search has been performed, of the extent of any search performed, or that more relevant information does not exist.

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 U.S.C. §102.

Notwithstanding any statements by the Applicant, the Examiner is urged to form his own conclusion regarding the relevance of the cited information.

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Serial No.: 10/712,811 - 3 - Art Unit:

An early and favorable action is hereby requested.

Respectfully submitted, John T. Keane, *Applicant* 

By

David Wolf, Reg. No. 17,528 Wolf, Greenfield & Sacks, P.C.

600 Atlantic Avenue

Boston, Massachusetts 02210-2211

Telephone: (617) 720-3500

Docket No. K0091.70002US00

Date: 2-3-04 X02/13/03X

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FORM PTO-1449/A and B (Modified)	APPLICATION NO.:	10/712,811	ATTY. DOCKET NO.: K0091.70002US00
INFORMATION DISCLOSU	1 2003	November 13,	CONFIRMATION NO.:
STATEMENT BY APPLICA	APPLICANT:	John T. Keane	
	GROUP ART UNIT:		EXAMINER:
Sheet of	33 01 711(1 07117.		

#### U.S. PATENT DOCUMENTS

Examiner's Cite Initials No.	Cite	U.S. Patent Document		Name of Patentee or Applicant of Cited	Date of Publication or of issue	
	No.	Number	Kind Code		of Cited Document MM-DD-YYYY	
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#### FOREIGN PATENT DOCUMENTS

	Cite	Foreign Patent Document		nent	Name of Patentee or Applicant of Cited	Date of Publication of	Translation
	No.	Office/ Country	Number	Kind Code	Document (not necessary)	Cited Document MM-DD-YYYY	(Y/N)

#### OTHER ART — NON PATENT LITERATURE DOCUMENTS

Examiner's Initials	Cite No	Include name of the author (in CAPITAL LETTERS) title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, relevant page(s), volume-issue number(s), publisher, city and/or country where published.	Translation (Y/N)	
		Colortrieve Record Systems Inc. (Catalog, p.12), Publishers: Colortrieve Record Systems Inc., Circa 1995		
		Group Catalog 1988, item 120b		

EXAMINER	DATE CONSIDERED

#EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

[NOTE - Must provide a copy of any patent, publication, other information listed, even if it was previously submitted to, or cited by, the U.S. Patent Office in an earlier application, unless the earlier application is identified by the IDS and is relied upon for an earlier filing date under 35 U.S.C. §120, and the copy was provided in the earlier application.]

<sup>\*</sup>a copy of this reference is not provided as it was previously cited by or submitted to the office in a prior application, Serial No. 60/004,632, filed October 2, 1995, and relied upon for an earlier filing date under 35 U.S.C. 120 (continuation, continuation-in-part, and divisional applications).